

## Discover PLUS Research Topics – **JOBS Act**

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**Scenario:** The JOBS (Jump Start Our Business Startups) Act aims to stimulate small business growth by easing some securities regulations that could discourage funding startups. One of the regulations reversed by the JOBS Act is a prohibition against the general solicitation of, and advertising for private placements only wherein securities have been purchased by accredited investors. A researcher would like to uncover who or what constitutes such an investor.

**Search:** "JOBS Act" /s (advertising and "accredited investor!")

**Results:** Search results include publications such as *Crowdfunding and Online Direct Lending (Peer-to-Peer 2014)*; *Private Placements and Other Financing Alternatives 2014*; and *Financial Product Fundamentals: Law, Business, Compliance (2nd Edition)*; forms such as a disclaimer for a pitch event organizer; and program transcripts from our "Understanding Financial Products" and "Global Capital Markets & the U.S." programs.

**Expert contributors to PLI materials on this topic:**

John C. Coffee, Jr. – Columbia University Law School; Roberta S. Karmel – Brooklyn Law School; Anna T. Pinedo – Morrison Foerster

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**Format**

- ☒ Answer Books
- ☒ Course Handbooks (89)
- ☒ Forms (2)
- ☒ Transcripts (2)
- ☒ Treatises (28)

**Date**

- ☒ 2015 (15)
- ☒ 2014 (48)
- ☒ 2013 (42)
- ☒ 2012 (16)
- ☐ 2011

**Practice Area**

- Corporate and Securities (120)
- Banking (9)
- Litigation (4)
- Accounting (3)
- Ethics/Professional Responsibility (3)

**Author**

- David M. Lynn (9)
- Gary M. Brown (9)
- James R. Tanenbaum (9)
- Anna T. Pinedo (7)
- Anna T. Pinedo (6)

**Author Affiliation**

- Morrison & Foerster LLP (21)

**Search Results**

121 total results: 1-10

1. **Pepper Hamilton LLP, Client Alert, SEC Proposes Crowdfunding Rules (October 24, 2013)**  
Title: Understanding the Securities Laws Summer 2014  
Author(s): Brian S. Korn  
Published: 2014  
CHB Chapter  
... Unlike Regulation D Rule 506 private placements to **accredited investors** following the **JOBS Act**, crowdfunding does not allow **advertising** except solely to direct investors to the appropriate broker/funding portal. ...  
... Web sites are already lined up to facilitate this process. Another provision of the **JOBS Act** that went into effect Sept. 23 enables **advertising** and "general solicitation" of **accredited investor** transactions. Let's not forget costs, too. To produce an offering disclosure document, enlist a funding portal, run background checks and file an annual report with the SEC year after year might well cost upwards of \$100,000. ...  
... Lending Club, Prosper, Zopa (UK) Securities Status Not sales of "securities" Sales of securities to **accredited investors** ...

2. **SEC Proposes Crowdfunding Rules, Clientalert (Oct. 24, 2013)**  
Title: Private Placements and Other Financing Alternatives 2014  
Author(s): Brian Korn  
Published: 2014  
CHB Chapter  
... Unlike Regulation D Rule 506 private placements to **accredited investors** following the **JOBS Act**, crowdfunding does not allow **advertising** except solely to direct investors to the appropriate broker/funding portal. ...  
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... Lending Club, Prosper, Zopa (UK) Securities Status Not sales of "securities" Sales of securities to **accredited investors** ...

3. **U.S. Securities and Exchange Commission: Fact Sheet: Eliminating the Prohibition on General Solicitation and General Advertising in Certain Offerings: SEC Open Meeting (July 10, 2013)**  
Title: Understanding the Securities Laws Fall 2013  
Author(s): N. Adele Hogan  
Published: 2013  
CHB Chapter